

09/19/00  
Jc927 U.S. PTO

9-21-00

09/19/00  
Jc927 U.S. PTO  
09/19/00  
09/19/00

Please type a plus sign (+) inside this box [+]

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 004688.P015

Total Pages 2

First Named Inventor or Application Identifier Dan Kikinis

Express Mail Label No. EL 617 211 098 US

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D. C. 20231

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. X Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. X Specification (Total Pages 27)  
(preferred arrangement set forth below)
  - Descriptive Title of the Invention
  - Cross References to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to Microfiche Appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claims
  - Abstract of the Disclosure
3. X Drawings(s) (35 USC 113) (Total Sheets 6)
4.      Oath or Declaration (Total Pages     )
  - a.      Newly Executed (Original or Copy)
  - b.      Copy from a Prior Application (37 CFR 1.63(d))  
(for Continuation/Divisional with Box 17 completed) (**Note Box 5 below**)
  - i.      **DELETIONS OF INVENTOR(S)** Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5.      Incorporation By Reference (useable if Box 4b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6.      Microfiche Computer Program (Appendix)

006760 2929360

7. \_\_\_\_\_ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)  
a. \_\_\_\_\_ Computer Readable Copy  
b. \_\_\_\_\_ Paper Copy (identical to computer copy)  
c. \_\_\_\_\_ Statement verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

8. \_\_\_\_\_ Assignment Papers (cover sheet & documents(s))  
9. \_\_\_\_\_ a. 37 CFR 3.73(b) Statement (where there is an assignee)  
\_\_\_\_\_ b. Power of Attorney  
10. \_\_\_\_\_ English Translation Document (if applicable)  
11. \_\_\_\_\_ a. Information Disclosure Statement (IDS)/PTO-1449  
\_\_\_\_\_ b. Copies of IDS Citations  
12. \_\_\_\_\_ Preliminary Amendment  
13. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)  
14. \_\_\_\_\_ a. Small Entity Statement(s)  
\_\_\_\_\_ b. Statement filed in prior application, Status still proper and desired  
15. \_\_\_\_\_ Copy of Priority Document(s) (if foreign priority is claimed)  
16. X Other: Certificate Of Express Mail with copy of postcard showing contents of  
Express Mail Package.

17. **If a CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
\_\_\_\_ Continuation      \_\_\_\_ Divisional      \_\_\_\_ Continuation-in-part (CIP)  
of prior application No: \_\_\_\_\_

18. **Correspondence Address**

\_\_\_\_ Customer Number or Bar Code Label \_\_\_\_\_  
(Insert Customer No. or Attach Bar Code Label here)  
or

X Correspondence Address Below

NAME John P. Ward Reg No.: 40,216

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

ADDRESS 12400 Wilshire Boulevard

Seventh Floor

CITY Los Angeles

STATE California

ZIP CODE 90025-1026

Country U.S.A.

TELEPHONE (408) 720-8598

FAX (408) 720-9397

PTO/SB/17(6/99)  
 09/665367  
 09/19/00

## FEE TRANSMITTAL FOR FY 2000

TOTAL AMOUNT OF PAYMENT (\$) 1,272.00

**Complete if Known:**

Application No. Not Yet Assigned  
 Filing Date Herewith  
 First Named Inventor Dan Kikinis  
 Group Art Unit Not Yet Assigned  
 Examiner Name Not Yet Assigned  
 Attorney Docket No. 004688.P015

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 02-2666  
 Deposit Account Name \_\_\_\_\_

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check  
 \_\_\_\_\_ Money Order  
 \_\_\_\_\_ Other

**FEE CALCULATION**

**1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
101	690	201	345	Utility application filing fee	<u>690.00</u>
106	310	206	155	Design application filing fee	_____
107	480	207	240	Plant filing fee	_____
108	690	208	345	Reissue filing fee	_____
114	150	214	75	Provisional application filing fee	_____
SUBTOTAL (1) \$					<u>690.00</u>

**2. EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	<u>48</u>	- 20** = <u>28</u>	X <u>18</u>	= <u>504.00</u>
Independent Claims	<u>4</u>	- 3** = <u>1</u>	X <u>78</u>	= <u>78.00</u>
Multiple Dependent				= _____

\*\*Or number previously paid, if greater; For Reissues, see below.

Large Entity		Small Entity		Fee Description	Fee Paid
Code	Fee (\$)	Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	_____
102	78	202	39	Independent claims in excess of 3	_____
104	260	204	130	Multiple dependent claim, if not paid	_____
109	78	209	39	**Reissue independent claims over original patent	_____
110	18	210	9	**Reissue claims in excess of 20 and over original patent	_____
SUBTOTAL (2) \$					<u>582.00</u>

01/10/2000

- 1 -

PTO/SB/17 (6/99)

Patent fees are subject to annual revisions. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid.  
 See Forms PTO/SB/09-12

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

<u>Larg Entity</u>		<u>Small Entity</u>		<u>Fee Description</u>	<u>Fee Paid</u>
<u>Fee</u>	<u>F e</u>	<u>Fee</u>	<u>F e</u>		
<u>Code</u>	<u>(\$)</u>	<u>Code</u>	<u>(\$)</u>		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	870	217	435	Extension for response within third month	
118	1,360	218	680	Extension for response within fourth month	
128	1,850	228	925	Extension for response within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	For filing a submission after final rejection (see 37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (see 37 CFR 1.129(a))	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) \$ 0

\*Reduced by Basic Filing Fee Paid

**SUBMITTED BY:**Typed or Printed Name: John P. Ward

Signature \_\_\_\_\_

Date 9/19/00R g. Number 40,216

Deposit Account User ID \_\_\_\_\_

02-2666

(complete if applicable)

## EXPRESS MAIL CERTIFICATE OF MAILING

"Express Mail" mailing label number: EL61721109845

Date of Deposit: 9-19-00

I hereby certify that I am causing this paper or fee to be deposited with the United States Postal Service "Express Mail Post Office to Addressee" service on the date indicated above and that this paper or fee has been addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231

LISA KAISER  
(Typed or printed name of person mailing paper or fee)

Lisa Kaiser  
(Signature of person mailing paper or fee)

9-19-00  
(Date signed)

Serial/Patent No. <u>004688-P015</u>	Filing/Issue Date <u>SEP 19 2000</u>
Client <u>ISURF TV</u>	
Title <u>Three Dimensional Light Electronic Programming Guide</u>	
BSIZ File No. <u>004688-P015</u>	Atty/Secy Initials <u>JPW/lyk</u>
Date Mailed <u>September 19, 2000</u>	Docket Due Date <u>SEP 19 2000</u>
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:	
<input checked="" type="checkbox"/> Amendment/Response (____) pgs.	<input checked="" type="checkbox"/> Express Mail No. <u>EL61721109845</u> <input checked="" type="checkbox"/> Check No. <u>37729</u>
<input checked="" type="checkbox"/> Appeal Brief (____) pgs. (in envelope)	<input checked="" type="checkbox"/> (Month(s)) Extension of Time <u>SEP 19 2000</u>
<input checked="" type="checkbox"/> Application - Utility (____) pgs., with cover and abstract	<input checked="" type="checkbox"/> Minimum Docket Summary & PTO MDC (____) pgs.
<input checked="" type="checkbox"/> Application - Rule 1.30(b) Continued (____) pgs.	<input type="checkbox"/> Initial Fee Transmittal
<input checked="" type="checkbox"/> Application - Rule 1.33(b) Divisional (____) pgs.	<input type="checkbox"/> Notice of Appeal
<input checked="" type="checkbox"/> Application - Rule 1.33(b) CB (____) pgs.	<input type="checkbox"/> Petition for Extension of Time
<input checked="" type="checkbox"/> Application - Rule 1.33(d) CPV Transmittal (____) pgs.	<input type="checkbox"/> Petition for _____
<input checked="" type="checkbox"/> Application - Design (____) pgs.	<input type="checkbox"/> Petition
<input checked="" type="checkbox"/> Application - PCT (____) pgs.	<input type="checkbox"/> Power of Attorney (____) pgs.
<input checked="" type="checkbox"/> Application - Provisional (____) pgs.	<input type="checkbox"/> Preliminary Amendment (____) pgs.
<input checked="" type="checkbox"/> Assignment and Cover Sheet	<input type="checkbox"/> Reply Brief (____) pgs.
<input checked="" type="checkbox"/> Certificate of Mailing	<input type="checkbox"/> Response to Notice of Missing Part
<input checked="" type="checkbox"/> Declaration & PDS (____) pgs.	<input type="checkbox"/> Small Entity Declaration for Small Business/Small Business
<input checked="" type="checkbox"/> Declaration of Originality & Copy of Inventor's Statement	<input type="checkbox"/> Transmittal Letter to docket
<input checked="" type="checkbox"/> Drawings <u>2</u> of above include <u>10</u> figures	<input type="checkbox"/> Fee Transmittal to docket
<input checked="" type="checkbox"/> Other: <u>Express Mail Certificate Showing Contents Of Express Mail package</u>	